

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

Clear Form

Date	Action	Symbol	Description	Quantity	Price	Amount
8/15/24	Sell	MMAT	META MATLS	30	\$0.65	\$19.61
1/29/24	Reverse Split	MMAT	META MATLS	30		
11/30/22	Buy	MMAT	META MATLS	470	\$1.86	(\$874.20)
11/30/22	Buy	MMAT	META MATLS	30	\$1.86	(\$55.80)
10/31/22	Buy	MMAT	META MATLS	500	\$1.10	(\$547.95)
6/28/22	Buy	MMAT	META MATLS	300	\$1.10	(\$330.00)
5/16/22	Buy	MMAT	META MATLS	150	\$1.56	(\$234.00)
5/9/22	Journal	Sh. MMAT	META MATLS	50	\$1.17	
3/15/22	Buy	MMAT	META MATLS	50	\$1.69	(\$84.25)
3/15/22	Buy	MMAT	META MATLS	150	\$1.69	(\$252.75)
3/15/22	Buy	MMAT	META MATLS	100	\$1.69	(\$168.50)
3/15/22	Buy	MMAT	META MATLS	200	\$1.69	(\$337.00)
1/26/22	Buy	MMAT	META MATLS	100	\$1.73	(\$172.50)
12/28/21	Sell	MMAT	META MATLS	100	\$2.54	\$254.38
11/30/21	Buy	MMAT	META MATLS	100	\$3.50	(\$350.00)
11/23/21	Buy	MMAT	META MATLS	100	\$3.73	(\$373.30)
11/18/21	Buy	MMAT	META MATLS	100	\$4.18	(\$418.00)
11/12/21	Buy	MMAT	META MATLS	50	\$4.81	(\$240.25)
11/10/21	Buy	MMAT	META MATLS	100	\$4.74	(\$473.80)
9/3/21	Buy	MMAT	META MATLS	50	\$5.76	(\$287.93)
7/23/21	Buy	MMAT	META MATLS	50	\$3.39	(\$169.38)
7/15/21	Buy	MMAT	META MATLS	5	\$3.79	(\$18.93)
7/15/21	Buy	MMAT	META MATLS	20	\$3.79	(\$75.71)
7/13/21	Buy	MMAT	META MATLS	25	\$4.10	(\$102.50)
7/12/21	Journal	Sh. MMAT	META MATLS	100	\$4.33	
7/8/21	Sell	MMAT	META MATLS	325	\$5.63	\$1,829.74
7/6/21	Buy	MMAT	META MATLS	24	\$7.25	(\$174.00)
7/6/21	Buy	MMAT	META MATLS	1	\$7.25	(\$7.25)
7/2/21	Buy	MMAT	META MATLS	20	\$6.76	(\$135.14)
7/2/21	Buy	MMAT	META MATLS	50	\$6.90	(\$344.93)
7/1/21	Buy	MMAT	META MATLS	30	\$7.38	(\$221.48)
7/1/21	Journal	MMAT	META MATLS	-50		
7/1/21	Journal	MMAT	META MATLS	50		
6/30/21	Buy	MMAT	META MATLS	35	\$6.97	(\$243.95)
6/30/21	Journal	Sh. MMAT	META MATLS	25	\$6.76	
6/29/21	Buy	MMAT	META MATLS	15	\$7.09	(\$106.35)
6/29/21	Reverse Split	MMAT	META MATLS	350		
6/29/21	Buy	MMAT	META MATLS	25	\$7.57	(\$189.13)
6/28/21	Buy	MMAT	META MATLS	30	\$7.95	(\$238.50)
6/28/21	Buy	MMAT	META MATLS	20	\$8.12	(\$162.40)

Total Loss: \$5,286.15

Schwab Account: 6509-8595

Owner: Jason and Teri Smalley
Joint Schwab Account

Date	Action	Symbol	Description	Quantity	Price	Amount
8/15/24	Sell	MMAT	META MATLS	40	\$0.63	\$25.05
1/29/24	Reverse Split	MMAT	META MATLS	40		
1/23/24	Buy	MMAT	META MATLS	150	\$0.06	(\$9.62)
4/14/23	Buy	MMAT	META MATLS	100	\$0.23	(\$23.00)
12/6/22	Buy	MMAT	META MATLS	50	\$1.99	(\$99.50)
11/30/22	Buy	MMAT	META MATLS	100	\$1.86	(\$186.00)
11/9/22	Buy	MMAT	META MATLS	383	\$1.40	(\$536.20)
11/9/22	Buy	MMAT	META MATLS	67	\$1.40	(\$93.80)
11/7/22	Buy	MMAT	META MATLS	3,000	\$1.38	(\$4,125.00)
11/3/22	Buy	MMAT	META MATLS	50	\$1.35	(\$67.65)
11/1/22	Buy	MMAT	META MATLS	100	\$1.14	(\$114.00)

Total Loss: \$5,229.72

Shares (Presplit) 4000

Schwab Account: 1052-3900

Owner: Jason Smalley
Roth IRA Account



Individual Retirement Account of
JASON J SMALLEY
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
1052-3900

Trade Confirmation

JASON J SMALLEY
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA
928 S GRANDVIEW AVE
SIOUX FALLS SD 57103-3031

11/01-00000-DC39A096 *



Individual Retirement Account of
JASON J SMALLEY
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
1052-3900

Trade Confirmation

BOUGHT META MATLS INC Dividends reinvested (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Cash

Trade: 11/01/22

Settle: 11/03/22

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
100	1.14	114.00	N/A	114.00

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Individual Retirement Account of
JASON J SMALLEY
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
1052-3900

Trade Confirmation

BOUGHT META MATLS INC Dividends reinvested (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Cash

Trade: 11/03/22

Settle: 11/07/22

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
50	1.353	67.65	N/A	67.65

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Individual Retirement Account of
JASON J SMALLEY
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
1052-3900

Trade Confirmation

BOUGHT META MATLS INC Dividends reinvested (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Cash

Trade: 11/07/22

Settle: 11/09/22

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
3,000	1.375	4,125.00	N/A	4,125.00

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT META MATLS INC 0% PFD Callable (Symbol: MMTLP)

Security No./CUSIP: 59134N-20-3

Type: Cash

Trade: 11/07/22

Settle: 11/09/22

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
103	7.25	746.75	Commission 2.86	749.61
147	7.24	1,064.28	Commission 4.09	1,068.37
250		\$ 1,811.03	\$6.95	\$ 1,817.98

For this security:

- Redemption features exist for this security. Information provided upon request.
 - Moody's Rating NR , S&P Rating NR
 - Schwab acted as your agent.

Internal Use Only: 2, E



Individual Retirement Account of
JASON J SMALLEY
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
1052-3900

Trade Confirmation

BOUGHT META MATLS INC Dividends reinvested (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Cash

Trade: 11/09/22

Settle: 11/14/22

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
67	1.40	93.80	N/A	93.80
383	1.40	536.20	N/A	536.20
450		\$ 630.00		\$ 630.00

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Individual Retirement Account of
JASON J SMALLEY
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
1052-3900

Trade Confirmation

BOUGHT META MATLS INC Dividends reinvested (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Cash

Trade: 11/30/22

Settle: 12/02/22

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>	<i>Total Amount (\$)</i>
100	1.86	186.00	N/A	186.00

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Individual Retirement Account of
JASON J SMALLEY
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
1052-3900

Trade Confirmation

BOUGHT META MATLS INC Dividends reinvested (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Cash

Trade: 12/06/22

Settle: 12/08/22

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
50	1.99	99.50	N/A	99.50

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Individual Retirement Account of

JASON J SMALLEY
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Trade Confirmation
April 14, 2023

Total Trades	Total Purchases	Total Sales	Net Charges and/or Interest	Net Amount
1	(\$23.00)	\$0.00	\$0.00	(\$23.00)

Settle Date	Action	Symbol/ CUSIP	Description	Quantity	Price(\$)	Principal(\$)	Charges/ Interest(\$)	Amount(\$)	Disclosure
04/18	Purchase	MMAT 59134N104	META MATLS INC	100	0.23	23.00		23.00	F2, F7, A1

Disclosures

A1 Schwab acted as your agent.

F7 Dividends Reinvested.

F2 Payment Type: Cash.



Individual Retirement Account of

JASON J SMALLEY
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
1052-3900

Trade Confirmation
January 23, 2024

Total Trades
1

Total Purchases
(\$9.62)

Total Sales
\$0.00

Net Charges and/or Interest
\$0.00

Net Amount
(\$9.62)

Settle Date	Action	Symbol/ CUSIP	Description	Quantity	Price(\$)	Principal(\$)	Charges/ Interest(\$)	Amount(\$)	Disclosure
01/25	Purchase	MMAT 59134N104	META MATLS INC	150	-0.0641	9.62		9.62	F2, F7, A1

Disclosures

A1 Schwab acted as your agent.

F7 Dividends Reinvested.

F2 Payment Type: Cash.



Individual Retirement Account of

JASON J SMALLEY
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
1052-3900

Trade Confirmation
August 15, 2024

Total Trades	Total Purchases	Total Sales	Net Charges and/or Interest	Net Amount
1	\$0.00	\$25.05	\$0.00	\$25.05

Settle Date	Action	Symbol/ CUSIP	Description	Quantity	Price(\$)	Principal(\$)	Charges/ Interest(\$)	Amount(\$)	Disclosure
08/16	Sale	MMAT 59134N302	META MATLS INC	40	0.6262	25.05		25.05	F2, F7, G1, C2

Disclosures

C2 The cost basis method requested was FIFO. Please view the cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.

F7 Dividends Reinvested.

G1 The unit price shown is an average price. Details regarding actual prices on the individual transactions are available upon request. Schwab acted as your agent.

F2 Payment Type: Cash.



Schwab One® Account of

JASON J SMALLEY &
TERI L SMALLEY JT TENAccount Number
6509-8595Trade Confirmation
August 15, 2024Total Trades
1Total Purchases
\$0.00Total Sales
\$19.61Net Charges and/or Interest
\$0.00Net Amount
\$19.61

Settle Date	Action	Symbol/ CUSIP	Description	Quantity	Price(\$)	Principal(\$)	Charges/ Interest(\$)	Amount(\$)	Disclosure
08/16	Sale	MMAT 59134N302	META MATLS INC	30	0.6536	19.61		19.61	F3, A1, C3

Disclosures

C3 The cost basis method requested was LIFO. Please view the cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.

A1 Schwab acted as your agent.

F3 Payment Type: Margin.



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

SOLD META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 12/28/21

Settle: 12/30/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
100	2.5438	254.38	N/A	254.38

For this security:

Internal Use Only: 2, E

- Specific lot selection was requested. The instructions provided are listed below. If all or part of the instructions listed here cannot be executed, the standing accounting method defined for the account will be used for the unexecuted instruction instead. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost

Purchase Date: 06/24/21 Selected Qty: 12.5 Purchase Price: \$6.97

Purchase Date: 06/22/21 Selected Qty: 12.5 Purchase Price: \$6.97

Purchase Date: 06/21/21 Selected Qty: 5 Purchase Price: \$6.97

Purchase Date: 06/21/21 Selected Qty: 7 Purchase Price: \$7.09

Purchase Date: 06/11/21 Selected Qty: 13 Purchase Price: \$5.94

Purchase Date: 06/09/21 Selected Qty: 25 Purchase Price: \$6.397

Purchase Date: 06/09/21 Selected Qty: 25 Purchase Price: \$6.397

- Schwab acted as your agent.



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

SOLD META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 07/08/21

Settle: 07/12/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
325	5.63	1,829.75	Exchange Processing Fee 0.01	1,829.74

For this security:

Internal Use Only: 2, E

- The cost basis method requested was Tax Lot Optimizer. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.
- Schwab acted as your agent.

SOLD 180 LIFE SCIENCES CO (Symbol: ATNF)

Security No./CUSIP: 68236V-10-4

Type: Margin

Trade: 07/08/21

Settle: 07/12/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
25	9.59	239.75	N/A	239.75

For this security:

Internal Use Only: 2, E

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Schwab acted as your agent.



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 11/30/22

Settle: 12/02/22

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>	<i>Total Amount (\$)</i>
30	1.86	55.80	N/A	55.80
470	1.86	874.20	N/A	874.20
500		\$ 930.00		\$ 930.00

For this security:
 - Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 10/31/22

Settle: 11/02/22

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>	<i>Total Amount (\$)</i>
500	1.0959	547.95	N/A	547.95

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT META MATLS INC 0% PFD Callable (Symbol: MMTLP)

Security No./CUSIP: 59134N-20-3

Type: Cash

Trade: 10/31/22

Settle: 11/02/22

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>	<i>Total Amount (\$)</i>
2,000	6.60	13,200.00	Commission 6.95	13,206.95

For this security:

- Redemption features exist for this security. Information provided upon request.
 - Moody's Rating NR , S&P Rating NR
 - Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 06/28/22

Settle: 06/30/22

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>	<i>Total Amount (\$)</i>
300	1.10	330.00	N/A	330.00

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT Golar Lng Limited (Symbol: GLNG)

Security No./CUSIP: G9456A-10-0

Type: Margin

Trade: 05/16/22

Settle: 05/18/22

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>	<i>Total Amount (\$)</i>
100	24.525	2,452.50	N/A	2,452.50
50	24.7135	1,235.68	N/A	1,235.68
150		\$ 3,688.18		\$ 3,688.18

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 05/16/22

Settle: 05/18/22

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>	<i>Total Amount (\$)</i>
150	1.56	234.00	N/A	234.00

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 03/15/22

Settle: 03/17/22

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>	<i>Total Amount (\$)</i>
200	1.685	337.00	N/A	337.00
50	1.685	84.25	N/A	84.25
150	1.685	252.75	N/A	252.75
100	1.685	168.50	N/A	168.50
500		\$ 842.50		\$ 842.50

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 01/26/22

Settle: 01/28/22

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
100	1.725	172.50	N/A	172.50

For this security:
 - Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT Rani Therapeutics Ho A (Symbol: RANI)

Security No./CUSIP: 753018-10-0

Type: Margin

Trade: 01/26/22

Settle: 01/28/22

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
100	21.2999	2,129.99	N/A	2,129.99
50	26.20	1,310.00	N/A	1,310.00
150		\$ 3,439.99		\$ 3,439.99

For this security:
 - Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT Globalfoundries Inc (Symbol: GFS) *Continued*

Security No./CUSIP: G39387-10-8

Type: Margin

Trade: 11/30/21

Settle: 12/02/21

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT LITHIUM AMERS CORP N (Symbol: LAC)

Security No./CUSIP: 53680Q-20-7

Type: Margin

Trade: 11/30/21

Settle: 12/02/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
25	38.969	974.23	N/A	974.23

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 11/30/21

Settle: 12/02/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
100	3.50	350.00	N/A	350.00

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 11/23/21

Settle: 11/26/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
100	3.733	373.30	N/A	373.30

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

SOLD Nvidia Corp (Symbol: NVDA)

Security No./CUSIP: 67066G-10-4

Type: Margin

Trade: 11/23/21

Settle: 11/26/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
12	311.9213	3,743.06	Exchange Processing Fee 0.02	3,743.04

For this security:

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.
- Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

SOLD AMPLITUDE INC A (Symbol: AMPL)

Security No./CUSIP: 03213A-10-4

Type: Margin

Trade: 11/18/21

Settle: 11/22/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
30	70.6714	2,120.14	Exchange Processing Fee 0.01	2,120.13

For this security:

Internal Use Only: 2, E

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.
- Schwab acted as your agent.

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 11/18/21

Settle: 11/22/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
100	4.18	418.00	N/A	418.00

For this security:

Internal Use Only: 2, E

- Schwab acted as your agent.



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT META MATLS INC 0% PFD Callable (Symbol: MMTLP)

Security No./CUSIP: 59134N-20-3

Type: Cash

Trade: 11/18/21

Settle: 11/22/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
145	1.51	218.95	N/A	218.95

For this security:

- Redemption features exist for this security. Information provided upon request.
- Moody's Rating NR , S&P Rating NR
- Schwab acted as your agent.

Internal Use Only: 2, E

SOLD Solaredge Tech (Symbol: SEDG)

Security No./CUSIP: 83417M-10-4

Type: Margin

Trade: 11/18/21

Settle: 11/22/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
3	357.6101	1,072.83	Exchange Processing Fee 0.01	1,072.82

For this security:

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.
- Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

SOLD AMPLITUDE INC A (Symbol: AMPL)

Security No./CUSIP: 03213A-10-4

Type: Margin

Trade: 11/18/21

Settle: 11/22/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
30	70.6714	2,120.14	Exchange Processing Fee 0.01	2,120.13

For this security:

Internal Use Only: 2, E

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.
- Schwab acted as your agent.

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 11/18/21

Settle: 11/22/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
100	4.18	418.00	N/A	418.00

For this security:

Internal Use Only: 2, E

- Schwab acted as your agent.



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT META MATLS INC 0% PFD Callable (Symbol: MMTLP)

Security No./CUSIP: 59134N-20-3

Type: Cash

Trade: 11/18/21

Settle: 11/22/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
145	1.51	218.95	N/A	218.95

For this security:

- Redemption features exist for this security. Information provided upon request.
- Moody's Rating NR , S&P Rating NR
- Schwab acted as your agent.

Internal Use Only: 2, E

SOLD Solaredge Tech (Symbol: SEDG)

Security No./CUSIP: 83417M-10-4

Type: Margin

Trade: 11/18/21

Settle: 11/22/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
3	357.6101	1,072.83	Exchange Processing Fee 0.01	1,072.82

For this security:

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.
- Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT Globalfoundries Inc (Symbol: GFS) *Continued*

Security No./CUSIP: G39387-10-8

Type: Cash

Trade: 11/12/21

Settle: 11/16/21

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT Hut Eight Mining Cor (Symbol: HUT)

Security No./CUSIP: 44812T-10-2

Type: Margin

Trade: 11/12/21

Settle: 11/16/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
100	14.51	1,451.00	N/A	1,451.00

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 11/12/21

Settle: 11/16/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
50	4.805	240.25	N/A	240.25

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

SOLD LITHIUM AMERS CORP N (Symbol: LAC)

Security No./CUSIP: 53680Q-20-7

Type: Margin

Trade: 11/10/21

Settle: 11/15/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
25	32.67	816.75	N/A	816.75

For this security:

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT MARATHON DIGITAL HLD (Symbol: MARA)

Security No./CUSIP: 565788-10-6

Type: Margin

Trade: 11/10/21

Settle: 11/15/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
15	74.5126	1,117.69	N/A	1,117.69

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 11/10/21

Settle: 11/15/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
100	4.738	473.80	N/A	473.80



Schwab One® Account of
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Account Number
6509-8595

Trade Confirmation

BOUGHT META MATLS INC (Symbol: MMAT) Continued

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 11/10/21

Settle: 11/15/21

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

SOLD Rani Therapeutics Ho A (Symbol: RANI)

Security No./CUSIP: 753018-10-0

Type: Margin

Trade: 11/10/21

Settle: 11/15/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)		Total Amount (\$)
50	25.82	1,291.00	Exchange Processing Fee	0.01	1,290.99

For this security:

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.
- Schwab acted as your agent.

Internal Use Only: 2, E

SOLD Select Energy Servic A (Symbol: WTTR)

Security No./CUSIP: 81617J-30-1

Type: Margin

Trade: 11/10/21

Settle: 11/15/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)		Total Amount (\$)
150	7.0008	1,050.12	Exchange Processing Fee	0.01	1,050.11



Schwab One® Account of
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Account Number
6509-8595

Trade Confirmation

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 09/03/21

Settle: 09/08/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
50	5.7586	287.93	N/A	287.93

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT Uranium Energy Corp (Symbol: UEC)

Security No./CUSIP: 916896-10-3

Type: Margin

Trade: 09/03/21

Settle: 09/08/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
200	3.00	600.00	N/A	600.00

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT Wipro Ltd ADR (Symbol: WIT)

Security No./CUSIP: 97651M-10-9

Type: Margin

Trade: 09/03/21

Settle: 09/08/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
50	9.57	478.50	N/A	478.50



Schwab One® Account of
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Account Number
6509-8595

Trade Confirmation

SOLD BIONTECH SE ADR (Symbol: BNTX)

Security No./CUSIP: 09075V-10-2

Type: Margin

Trade: 07/23/21

Settle: 07/27/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
5	270.943	1,354.72	Exchange Processing Fee 0.01	1,354.71

For this security:

Internal Use Only: 2, E

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.
- Schwab acted as your agent.

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 07/23/21

Settle: 07/27/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
50	3.3876	169.38	N/A	169.38

For this security:

Internal Use Only: 2, E

- Schwab acted as your agent.



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 07/15/21

Settle: 07/19/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
20	3.7853	75.71	N/A	75.71
5	3.7854	18.93	N/A	18.93
25		\$ 94.64		\$ 94.64

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT Paypal Holdings Inco (Symbol: PYPL)

Security No./CUSIP: 70450Y-10-3

Type: Margin

Trade: 07/15/21

Settle: 07/19/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
3	296.78	890.34	N/A	890.34

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT Exela Technologies I (Symbol: XELA)

Security No./CUSIP: 30162V-40-9

Type: Margin

Trade: 07/13/21

Settle: 07/15/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
20	4.87	97.40	N/A	97.40
5	4.865	24.33	N/A	24.33
50	4.5064	225.32	N/A	225.32
75		\$ 347.05		\$ 347.05

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 07/13/21

Settle: 07/15/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
25	4.10	102.50	N/A	102.50

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

SOLD CHARGEPOINT HLDGS IN A (Symbol: CHPT)

Security No./CUSIP: 15961R-10-5

Type: Margin

Trade: 07/06/21

Settle: 07/08/21

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>	<i>Total Amount (\$)</i>
15	31.465	471.98	N/A	471.98

For this security:

Internal Use Only: 2, E

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Schwab acted as your agent.

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 07/06/21

Settle: 07/08/21

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>	<i>Total Amount (\$)</i>
24	7.25	174.00	N/A	174.00
1	7.25	7.25	N/A	7.25
25		\$ 181.25		\$ 181.25

For this security:

Internal Use Only: 2, E

- Schwab acted as your agent.



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 07/02/21

Settle: 07/07/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
50	6.8985	344.93	N/A	344.93
20	6.7569	135.14	N/A	135.14
70		\$ 480.07		\$ 480.07

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT Apple Inc Dividends reinvested (Symbol: AAPL)

Security No./CUSIP: 037833-10-0

Type: Margin

Trade: 07/01/21

Settle: 07/06/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
10	136.655	1,366.55	N/A	1,366.55

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 07/01/21

Settle: 07/06/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
30	7.3828	221.48	N/A	221.48

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

SOLD Rocket Companies Cla A (Symbol: RKT)

Security No./CUSIP: 77311W-10-1

Type: Margin

Trade: 07/01/21

Settle: 07/06/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
40	19.045	761.80	N/A	761.80



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT Apple Inc Dividends reinvested (Symbol: AAPL)

Security No./CUSIP: 037833-10-0

Type: Margin

Trade: 06/30/21

Settle: 07/02/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
20	137.105	2,742.10	N/A	2,742.10

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 06/30/21

Settle: 07/02/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
35	6.97	243.95	N/A	243.95

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT Transocean Inc New (Symbol: RIG)

Security No./CUSIP: H8817H-10-0

Type: Margin

Trade: 06/30/21

Settle: 07/02/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
50	4.504	225.20	N/A	225.20



Schwab One® Account of
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 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

BOUGHT CHARGEPOINT HLDGS IN A (Symbol: CHPT)

Security No./CUSIP: 15961R-10-5

Type: Margin

Trade: 06/29/21

Settle: 07/01/21

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>	<i>Total Amount (\$)</i>
15	36.07	541.05	N/A	541.05

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Margin

Trade: 06/29/21

Settle: 07/01/21

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>	<i>Total Amount (\$)</i>
25	7.565	189.13	N/A	189.13
15	7.09	106.35	N/A	106.35
40		\$ 295.48		\$ 295.48

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
**JASON J SMALLEY &
 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

SOLD Golden Ocean Group (Symbol: GOGL)

Security No./CUSIP: G39637-20-5

Type: Margin

Trade: 06/28/21

Settle: 06/30/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
30	11.0616	331.85	N/A	331.85

For this security:

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Schwab acted as your agent.

Internal Use Only: 2, E

BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Cash

Trade: 06/28/21

Settle: 06/30/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
20	8.1199	162.40	N/A	162.40
30	7.95	238.50	N/A	238.50
50		\$ 400.90		\$ 400.90

For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of
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 TERI L SMALLEY JT TEN**

Account Number
6509-8595

Trade Confirmation

SOLD METAMATERIAL INC (Symbol: MMATF)

Security No./CUSIP: 59134F-10-1

Type: Cash

Trade: 06/28/21

Settle: 06/30/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
132	13.15	1,735.80	Exchange Processing Fee 0.01	1,735.79

For this security:

Internal Use Only: 2, E

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.
- Schwab acted as your agent.

SOLD Perion Network Ltd (Symbol: PERI)

Security No./CUSIP: M78673-11-4

Type: Margin

Trade: 06/28/21

Settle: 06/30/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
20	22.00	440.00	N/A	440.00

For this security:

Internal Use Only: 2, E

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Schwab acted as your agent.